



# JAVERI FISCAL SERVICES LTD

## Annexure – B (Stock broker)

### Annexure –C (DP)

Format for Investor Complaints Data to be displayed by Stock Brokers on their respective websites

#### Data for every month ending

| S<br>N | Receive<br>d from                       | Carried<br>forwar<br>d from<br>previou<br>s<br>month | Receive<br>d during<br>the<br>month | Total<br>Pendin<br>g | Resolve<br>d* | Pending at the end<br>of the month**           |  | Average<br>Resolution<br>time^ (in<br>days) |
|--------|---|--|-------------------------------------|----------------------|---------------|--|--|---|
|        |   |  |                                     |                      |               | Pending<br>for<br>less<br>than 3<br>month<br>s | Pending<br>for<br>more<br>than 3<br>month<br>s |   |
| 1      | 2                                       | 3  | 4                                   | 5                    | 6             | 7  |  | 8   |
| 1      | Directly<br>from<br>Investors           | 0  | 0                                   | 0                    | 0             | 0  |  | 0   |
| 2      | SEBI<br>(SCORE<br>S)                    | 0  | 0                                   | 0                    | 0             | 0  |  | 0   |
| 3      | Stock<br>Exchang<br>es/Depo<br>sitories | 0  | 0                                   | 0                    | 0             | 0  |  | 0   |
| 4      | Other<br>Sources<br>(if any)            | 0  | 0                                   | 0                    | 0             | 0  |  | 0   |
| 5      | <b>Grand<br/>Total</b>                  | 0  | 0                                   | 0                    | 0             | 0  |  | 0   |



# JAVERI FISCAL SERVICES LTD

## Trend of monthly disposal of complaints

| SN | Month              | Carried forward from previous month | Received | Resolved* | Pending** |
|----|--------------------|-------------------------------------|----------|-----------|-----------|
| 1  | 2                  | 3                                   | 4        | 5         | 6         |
| 1  | April -2022        | 0                                   | 0        | 0         | 0         |
| 2  | May-2022           | 0                                   | 0        | 0         | 0         |
| 3  | June-2022          | 0                                   | 0        | 0         | 0         |
| 4  | July-2022          |                                     |          |           |           |
| 5  | Aug-2022           |                                     |          |           |           |
| 6  | Sept-2022          |                                     |          |           |           |
| 7  | Oct-2022           |                                     |          |           |           |
| 8  | Nov-2022           |                                     |          |           |           |
| 9  | Dec-2022           |                                     |          |           |           |
| 10 | Jan-2023           |                                     |          |           |           |
| 11 | Feb-2023           |                                     |          |           |           |
| 12 | March-2023         |                                     |          |           |           |
|    | <b>Grand Total</b> | 0                                   | 0        | 0         | 0         |

\*Should include complaints of previous months resolved in the current month, if any.

\*\*Should include total complaints pending as on the last day of the month, if any.

^Average resolution time is the sum total of time taken to resolve each complaint in the current month divided by total number of complaints resolved in the current month.

## Trend of annual disposal of complaints

| SN | Year                    | Carried forward from previous year | Received during the year | Resolved during the year | Pending at the end of the year |
|----|-------------------------|------------------------------------|--------------------------|--------------------------|--------------------------------|
| 1  | 2017-18                 | 0                                  | 0                        | 0                        | 0                              |
| 2  | 2018-19                 | 0                                  | 0                        | 0                        | 0                              |
| 3  | 2019-20                 | 0                                  | 0                        | 0                        | 0                              |
| 4  | 2020-21                 | 0                                  | 0                        | 0                        | 0                              |
| 5  | 2021-22                 | 0                                  | 0                        | 0                        | 0                              |
| 6  | 2022-23 (up to June 22) | 0                                  | 0                        | 0                        | 0                              |
|    | <b>Grand Total</b>      | 0                                  | 0                        | 0                        | 0                              |